

**IN THE UNITED STATES DISTRICT COURT
FOR DISTRICT OF NEBRASKA**

CFGENOME, LLC, a Delaware limited liability
company, and DR. M. ROHAN FERNANDO,
an individual,

Plaintiffs/CounterDefendants,

v.

STRECK, INC.,

Defendant/CounterPlaintiff,

v.

GARY KRZYZANOWSKI,

Third-Party Defendant.

Case No. 4:16-cv-03130

STIPULATED PROTECTIVE ORDER

THIS MATTER CAME before the Court upon the Parties' stipulated Motion for Entry of Protective Order. (Filing No. 95). After reviewing the Motion, the Court enters this Protective Order ("Protective Order") concerning disclosure, use, and protection of confidential or proprietary documents and information, including, but not limited to, commercial and/or financial information that is not available in the public domain.

IT IS ORDERED as follows:

Purpose and Scope

1. Discovery in this action may involve disclosure of purported trade secrets and other confidential and proprietary business, technical, and financial information. This Protective Order (the "Order") therefore is intended to protect against unauthorized disclosure of any such information and to ensure that such information will be used only for the purposes of this action. This Order shall govern all information (whether in writing, electronic, or other form),

interrogatory responses, responses to requests for admission, documents or things produced in response to requests for production or voluntary production of documents, deposition testimony, including but not limited to all copies, excerpts, and summaries thereof or materials derived therefrom, including all such materials which are used in the course of pretrial discovery and other proceedings in this action and any motions, briefs, or other filings incorporating such information (collectively, “Discovery Material”).

Applicability

2. Provisions of this Order shall apply to (i) the parties presently named in this action, including, in the case of parties other than individuals, their officers, directors, employees, members and agents and (ii) any other person or entity who produced Discovery Material in this action and who agrees to be bound by the terms of this Order.

Designation of Materials

3. The producing parties may designate any Discovery Materials as either “Confidential” or “Attorneys’ Eyes Only” or, pending the Court’s ruling on the Statement of Objections filed by Plaintiffs/Counter-Defendants and Third-Party Defendant,¹ tentatively “Attorneys’ Eyes Only – PPB” (collectively, “Confidential Discovery Material”) when a party, third party, or their counsel in good faith believes that such Confidential Discovery Material

¹ Instead of having the Plaintiffs/Counter-Defendants and Third-Party Defendant filing a Motion to Stay enforcement of the Memorandum and Order (Doc. No. 93) pursuant to [NECivR 72.2\(c\)](#), the Parties have agreed to enter into this Stipulated Protective Order in this format to allow discovery to commence. However, either Party may still designate documents and/or information in discovery as “Attorneys’ Eyes Only – PPB” with the understanding that any so-designated documents or information will not be shared with patent prosecution counsel pending the Court’s ruling on Plaintiffs/Counter-Defendants’ and Third-Party Defendant’s Statement of Objections. Any references to or designations to documents and/or information as being “Attorneys’ Eyes Only – PPB” will be subject to this mutual understanding. The Parties expressly agree that the entry of this Stipulated Protective Order will not constitute a waiver of Plaintiffs/Counter-Defendants’ and Third-Party Defendant’s ability to submit a Statement of Objections pursuant to [NECivR 72.2\(a\)](#) or to otherwise appeal the determination that a patent prosecution provision is not proper in this case. The parties further agree that the entry of this Stipulated Protective Order shall not constitute a waiver of any of Streck’s arguments in opposition to the Statement of Objections.

constitutes or reveals a trade secret or other confidential or proprietary business, technical or financial information. For purposes of this Order, information considered to be Confidential Discovery Material includes, but is not limited to, all non-public materials containing information related to the following: research; market studies; formulas; trade secrets; proprietary technical information; submissions of information to regulatory agencies and designated or requested for confidential treatment; proprietary policies and procedures; proprietary business strategies and marketing plans, transactions and strategies, and analysis of same; financial or tax data; proprietary contracts; customer lists and information; competitive analyses; costs; pricing; current personnel; employment compensation information; personnel files and documents contained in personnel files of the parties' current and former employees; product and market development and planning; financial results, plans, and projections; or the financial affairs of any individual subject to discovery in this action. "Attorneys Eyes Only" is reserved as a designation of material that is a trade secret, proprietary, or otherwise commercially sensitive.

4. It shall be the duty of the party or a third party who seeks to invoke protection under this Order to give notice, in the manner set forth herein, of the Confidential Discovery Material to be covered hereby, and the level of confidentiality invoked. The duty of the other parties and of all parties bound by this Order to maintain confidentiality hereunder shall commence with such notice.

Disclosure

5. Except with the prior consent of the producing party or upon prior order of a court of competent jurisdiction, Confidential Discovery Material shall not be disclosed except in accordance with the terms, conditions, and restrictions of this Order. A nonproducing party shall not, except with the prior consent of the producing party or witness or by order of this Court, use

Confidential Discovery Material for any purpose, including, without limitation, any business or commercial purpose, other than for the purpose of preparing for and conducting the litigation of this action and any appellate proceedings in this action, and the use and disclosure of any Confidential Discovery Material shall be limited to the extent reasonably necessary for the prosecution, defense, and/or appeal of this action.

Discovery Material Designated “Confidential”

6. Except with the prior consent of the producing party or upon prior order of this Court, Discovery Material designated “Confidential” shall not be disclosed directly or indirectly by the person receiving such material to persons other than the following persons, as to whom disclosure shall be limited to the extent reasonably necessary for the prosecution, defense, and/or appeal of this action:

(a) The Court, persons employed by the Court, and stenographers transcribing the testimony or argument at a hearing, trial, or deposition in this action or any appeal therefrom;

(b) Counsel for the parties in this action, associates, legal assistants, paralegals, secretarial and clerical employees, and outside copy services, litigation consulting services, document management services, and graphic services that are assisting counsel in the prosecution, defense, and/or appeal of this action;

(c) Independent and party experts, consultants and/or investigators retained, employed, or informally consulted by counsel in connection with the prosecution, defense, and/or appeal of this action, including their secretarial and clerical employees who are assisting in the prosecution, defense, and/or appeal of this action, subject to their signing the “Undertaking” (described below), provided that such persons are not competitors of and have no affiliation or association with the

opposing party and all communications regarding the materials are made solely with outside counsel;²

(d) The parties and the officers and employees of any party solely for the purpose of prosecuting, defending, and/or appealing the subject matter of this lawsuit;

(e) Actual witnesses during the trial or any hearing in this lawsuit or actual deponents during a deposition in this lawsuit and their respective attorneys, subject to their signing the “Undertaking” (described below); and

(f) Independent auditors of a party, subject to their signing the “Undertaking.”

Discovery Material Designated “Attorneys’ Eyes Only”³

7. The parties may designate certain highly sensitive confidential Discovery Material as “Attorneys’ Eyes Only.” Except with the prior consent of the producing party or upon prior order of this Court, Discovery Material designated “Attorneys’ Eyes Only” shall be treated the same as documents labeled “Confidential,” but shall not be disclosed directly or indirectly by the person receiving such material to persons other than the following persons, as to whom disclosure shall be limited to the extent reasonably necessary for the prosecution, defense, and/or appeal of this action:

(a) The Court, persons employed by the Court, and stenographers transcribing the testimony or argument at a hearing, trial, or deposition in this action or any appeal therefrom;

(b) Outside counsel for the parties in this action, associates, legal assistants, paralegals, secretarial and clerical employees, and outside copy services, litigation consulting services, document management services, and graphic services that are assisting counsel in the prosecution, defense and/or appeal of this action;

² The Parties will address disclosure of experts and objections in the Progression Order.

³ See Note 1, *supra*.

(c) Independent non-party experts, consultants and/or investigators retained, employed, or informally consulted by counsel in connection with the prosecution, defense, and/or appeal of this action, including their secretarial and clerical employees who are assisting in the prosecution, defense, and/or appeal of this action subject to their signing the “Undertaking” (described below), provided that such persons are not competitors of and have no affiliation or association with the opposing party and all communications regarding the materials are made solely with outside counsel;⁴

(d) Actual witnesses during the trial or any hearing in this lawsuit or actual deponents during a deposition in this lawsuit and their respective attorneys, subject to their signing the “Undertaking” (described below).

8. To the extent that any party identifies documents which they believe need more protection than provided herein, they shall seek protection from the Court.

Statements

9. Any person who is given access to Confidential Discovery Material shall, prior to being given any such material, be informed of the provisions of this Order, read this Order, and execute an Undertaking, in the form annexed hereto as Exhibit A, indicating that he/she has read this Order and will abide by its terms. The original of any such Undertaking shall be retained by counsel for each party who intends to or does provide such persons any such material, until the conclusion of this action, including all appeals. The parties agree not to use these statements for any purpose other than monitoring and enforcing compliance with this Order.

⁴ The Parties will address disclosure of experts and objections in the Progression Order.

Non-Disclosure

10. Any person receiving Confidential Discovery Material shall not disclose such information to any person who is not entitled to receive such information under this Order. If Confidential Discovery Material is disclosed to any person other than in the manner authorized by this Order, the person responsible for the disclosure must immediately bring all pertinent facts relating to such disclosure to the attention of counsel for all parties and, without prejudice to other rights and remedies of any party, make a reasonable good faith effort to retrieve such material and to prevent further disclosure by it or by the person who received such information.

Designation of Written Discovery Material by Party or Witness

11. Any producing party or witness shall designate Confidential Discovery Material at the time of its production by marking any originals or copies of the documents or other tangible materials with the legend “Confidential” or “Attorneys’ Eyes Only” or tentatively “Attorneys’ Eyes Only – PPB” pending ruling on the Statement of Objections at the time of their production. If any document or other material is used as an exhibit at trial or otherwise displayed to the Jury, all markings indicating that the document or material had previously been designated by the party pursuant to this Order as “Confidential” or “Attorneys’ Eyes Only” or tentatively “Attorneys’ Eyes Only – PPB” pending ruling on the Statement of Objections shall be removed prior to offering the document or material into evidence or displaying same, and no mention shall be made of the previous designation of confidentiality. Such removal shall not alter the confidential nature and treatment of the document or material or the obligations of any party or third party under this Order.

Designation of Testimony by Party or Witness

12. Any party or non-party witness shall have thirty (30) days from the date of receipt of a copy of a deposition or other transcript in which to designate all or portions of the testimony as “Confidential” or “Attorneys’ Eyes Only” or tentatively “Attorneys’ Eyes Only – PPB” pending ruling on the Statement of Objections Notwithstanding paragraph 4 of this Order, from the time the testimony is given through such 30-day period, all information disclosed in the testimony shall be deemed tentatively “Attorneys’ Eyes Only – PPB” Discovery Material subject to the terms of this Order. After such fifteen (15) days have expired, any testimony not designated shall no longer be deemed Confidential or Attorneys’ Eyes Only, subject, however, to the terms of paragraph 21 below. Such designation shall be made after transcription by sending written notice identifying the information to be so designated by page and line numbers to counsel of record, the reporters, and the witness within the 30-day time period. The reporter shall stamp each page so designated as either “confidential” or “attorneys’ eyes only — confidential.”

Deposition Procedures

13. At any deposition session, when counsel for a party or witness deems that the answer to a question will result in the disclosure of Attorneys’ Eyes Only or tentatively Attorneys’ Eyes Only – PPB (pending ruling on the Statement of Objections) Discovery Material, counsel shall have the option, in lieu of taking other steps available under the rules of civil procedure, to request that all persons who are not permitted to receive such Discovery Material pursuant to the terms of this Order leave the deposition room during the confidential portion of the deposition. The failure of such other persons to comply with such requests shall constitute substantial justification for counsel to advise the witness that he need not answer the question.

Nonconfidential Information

14. Discovery material will not be subject to the non-disclosure obligations for designated Confidential Discovery Material if the content or substance can be established by documentary evidence that:

- (a) Is already in the public domain at the time of disclosure;
- (b) Becomes part of the public domain at any time, unless as a result of (i) action or failure to act, where there is a public duty to act, on the part of the recipient; or (ii) any malefaction or breach of duty by a recipient or any third party;
- (c) Is already in the possession of the recipient party at the time of disclosure and was not acquired under assurance of confidentiality directly or indirectly; or
- (d) Is made available to the recipient party by a third party who obtained the same by legal means and without any obligation of confidence to the disclosing party.

15. In the event any Discovery Material originally designated as “Confidential” or “Attorneys’ Eyes Only” or tentatively “Attorneys’ Eyes Only – PPB” pending ruling on the Statement of Objections is later discovered to not be confidential by the terms of this Protective Order, such designation shall be honored by the parties until amended by agreement among the parties or until otherwise ordered by this Court in accordance with the provisions of this Order or until the conclusion of the litigation.

Disputes

16. Any party may object to the designation of particular Discovery Material as “Confidential” or “Attorneys’ Eyes Only” or tentatively “Attorneys’ Eyes Only – PPB” pending ruling on the Statement of Objections by giving written notice to the party or witness making the designation and to all other parties. Such notice shall identify with specificity the Discovery

Material to which the objection is directed and the basis of the objection. If any party disputes the challenge to the designation of the Discovery Material and such dispute cannot be resolved, it shall be the obligation of the party objecting to the designation to file a motion with the Court to request an in camera review of the disputed Discovery Material and a determination by the Court that this material be de-designated as “Confidential” or “Attorneys’ Eyes Only” Or tentatively “Attorneys’ Eyes Only – PPB” Pending ruling on the Statement of Objections.

The disputed Discovery Material shall be treated as originally designated pending a ruling from the Court. In any proceeding under this paragraph, the witness or party making the designation shall have the burden of proof that the challenged Discovery Material is entitled to the protection of the particular designation of “Confidential” or “Attorneys’ Eyes Only” Or tentatively “Attorneys’ Eyes Only – PPB” Pending ruling on the Statement of Objections.

Court Filing

17. In the event that any Confidential Discovery is used in any court proceeding in this action or any appeal therefrom, it shall not lose its status as Confidential Discovery Material. All documents designated “Confidential” or “Attorneys Eyes Only” or tentatively “Attorneys’ Eyes Only – PPB” pending ruling on the Statement of Objections should be filed under seal with Court pursuant to the Federal Rules of Civil Procedure.

Return of Discovery Material

19. All provisions of this Order restricting the use of information obtained during discovery shall continue to be binding after the conclusion of this action, including all appeals, until further reorder of the Court, unless the parties agree otherwise in writing. Any and all originals and copies of documents or other information produced in this litigation, whether or not designated as “Confidential” or “Attorneys’ Eyes Only” or tentatively “Attorneys’ Eyes Only –

PPB” pending ruling on the Statement of Objections shall, at the request and expense of the producing party, be returned to the party within sixty (60) days after a final, non-appealable judgment herein or settlement of this action. In the event that documents are returned at the request of the producing party, the other party or its outside counsel shall certify in writing that all such documents have been returned. Sixty (60) days after a final, non-appealable judgment has been entered, the receiving party, may unilaterally choose to destroy all originals and copies of documents or other information.

No Waiver

20. Neither the taking of any action in accordance with the provisions of this Order, nor the failure to object hereto, shall be construed as a waiver of any claim or defense in this action. This Order shall not be construed as a waiver of any right to object to the furnishing of information in response to discovery and, except as expressly provided, shall not relieve any party or witness of the obligation to produce information properly sought in the course of discovery. Nothing herein shall be construed to effect in any way the admissibility of any document, testimony, or other evidence at trial of this action. Nothing contained in this Order or any declaration of confidentiality or restriction hereunder shall be used or characterized by any party as an “admission” by a party opponent. The failure of a party to object to or to challenge a designation by another party of Discovery Material as “Confidential” or “Attorneys’ Eyes Only” or tentatively “Attorneys’ Eyes Only – PPB” pending ruling on the Statement of Objections shall not constitute an admission that the materials so designated are in fact trade secrets, or other confidential or proprietary business, technical or financial information, or are entitled to any legal protection. The failure of a party to object or to challenge a designation by another party of Discovery Material as “Confidential” or “Attorneys’ Eyes Only” or tentatively “Attorneys’ Eyes Only – PPB” pending ruling on the

Statement of Objections upon initial receipt of this material shall not constitute or be construed as a waiver of that party's right to subsequently object to or to challenge such designations at any later time.

Inadvertent Failure to Designate

21. Inadvertent failure to designate materials as Confidential Discovery Material at the time of production or the designation of such materials at a lower level of confidentiality than warranted pursuant to this Order may be remedied by supplemental written notice given by the producing party. Upon receipt of such notification, all documents, materials, or testimony so designated or re-designated shall be fully subject to this Order as if it has been initially so designated and shall be re-marked by the receiving party; provided, however, that the receiving party shall incur no liability for any previous treatment of such information in conformance with its original designation. The party receiving such notice shall make a reasonable good faith effort to ensure that any analyses, memoranda, or notes which were internally generated based upon such information shall immediately be treated in conformance with any such designation or re-designation. Notwithstanding the foregoing, no party may designate or re-designate materials under the Order later than 90 days after the materials were produced to an opposing party pursuant to this Order.

Inadvertent Production

22. The inadvertent production of any document or other information during discovery in this action shall be without prejudice to any claim that such material is privileged under the attorney-client privilege, or protected from discovery as work product within the meaning of the rules of civil procedure, and no party or entity shall be held to have waived any rights by such inadvertent production. Upon written request by the inadvertently producing party or entity, the

receiving party shall (i) return the original and all copies of such documents within ten (10) days of receiving the request, and (ii) shall not use such information for any purpose until further order of the Court. Any analyses, memoranda, or notes which were internally generated based upon such information shall be destroyed. Upon written request by the receiving party, the advertently producing party shall make the document available for in camera inspection by the Court in connection with any challenge to the claim of privilege or work product protection.

23. Nothing herein will restrict the party from whom the return of the documents is requested from filing a motion with the Court contesting the designation of the material as privileged or protected by the work product doctrine or from referring to the fact that the material has been produced; provided, however, the party filing the motion cannot refer to the content of the document nor contend that any privilege has been waived.

Inconsistent Designations

24. In the event a party produces two or more identical copies of a document and any such copy is designated with a lesser degree of confidentiality than any other copy, all such identical documents shall be treated in accordance with the most restrictive designation on any copy once the inconsistent designation is known. The producing party shall be responsible for informing the party receiving the inconsistently designated information of the inconsistent designation; however, if any person subject to this Order receives such inconsistently designated information, and has actual knowledge of the inconsistent designation, the person shall treat all copies in accordance with the most restrictive designation.

Further Motions Not Precluded⁵

25. Entry of this Order shall be without prejudice to any motion for relief from the provisions hereof or to any motion for further restriction on the production, exchange, or use of any document or to any motion for further restriction on the production, exchange, or use of any document or other information in the course of this action; provided, however, that no such motion shall be made after the entry of a final judgment or settlement.

No Restrictions on Admissibility or Use

26. Nothing herein shall impose any restriction on the use or disclosure by a party of its own documents or information, including the deposition testimony of its employees or experts, except to the extent such deposition testimony involves the disclosure of information designed by another party as Confidential Discovery Material pursuant to the terms of this Order.

Ruling on Statement of Objections

27. If the Court affirms the Memorandum and Order (Doc. No. 93), all documents tentatively designated as “Attorneys Eyes Only-PPB” shall automatically be treated as “Attorneys Eyes Only” and may be disclosed to all outside counsel involved in this litigation, and the Attorneys Eyes Only-PPB shall no longer be a permitted designation. The parties agree that, if the Court affirms the Memorandum and Order, they shall file a proposed addendum to the Protective Order to this effect.

⁵ Pursuant to the Parties’ agreement as set forth in Note 1, *supra*, the Parties may revise this Stipulated Protective Order pursuant to the Court’s ruling on Plaintiffs/Counter-Defendants’ and Third-Party Defendant’s Statement of Objections to the Memorandum and Order found at Doc. No. 93.

June 14, 2018.

BY THE COURT:



Cheryl R. Zwart
United States Magistrate Judge

EXHIBIT A – UNDERTAKING

I, _____, hereby acknowledge that I have received the Stipulation for Protective Order that has been entered into by the parties in the matter entitled *CF Genome, LLC & Dr. M. Rohan Fernando v. Streck, Inc.* Case No. 4:16-cv-03130. I agree to be bound by the terms in the Protective Order with respect to any document or information which has been designated as Confidential Information by any party.

By: _____